

The Helly number of Hamming balls and related problems

Zhihan Jin

ETH Zürich

Joint work with Noga Alon and Benny Sudakov

Summit280, July 11, 2024

Theorem (Helly 1923)

Let K_1, K_2, \dots, K_n be convex sets in \mathbb{R}^d . If every $d + 1$ of them intersect, then all the sets intersect.

Theorem (Helly 1923)

Let K_1, K_2, \dots, K_n be convex sets in \mathbb{R}^d . If every $d + 1$ of them intersect, then all the sets intersect.

- $d + 1$ is tight: consider n hyperplanes in general position.

- \mathcal{F} is a fixed family of sets.

Question

Is there $h < \infty$ such that the following holds?

Let $K_1, K_2, \dots, K_n \in \mathcal{F}$ be arbitrary. If every h of them intersect, then all the sets intersect.

- \mathcal{F} is a fixed family of sets.

Question

Is there $h < \infty$ such that the following holds?

Let $K_1, K_2, \dots, K_n \in \mathcal{F}$ be arbitrary. If every h of them intersect, then all the sets intersect.

- The Helly number of family \mathcal{F} is the minimum such h .

Examples of Helly numbers

- Let \mathcal{F} be the family of all subtrees of a fixed tree T .
The Helly number is 2.

Examples of Helly numbers

- Let \mathcal{F} be the family of all subtrees of a fixed tree T .
The Helly number is 2.
- Let \mathcal{F} be the family of $K \cap \mathbb{Z}^d$ for all convex $K \subseteq \mathbb{R}^d$.
Doignon showed its Helly number is 2^d .

Examples of Helly numbers

- Let \mathcal{F} be the family of all subtrees of a fixed tree T .
The Helly number is 2.
- Let \mathcal{F} be the family of $K \cap \mathbb{Z}^d$ for all convex $K \subseteq \mathbb{R}^d$.
Doignon showed its Helly number is 2^d .
- Let \mathcal{F} be a family of subsets in \mathbb{R}^d such that any subfamily-intersection is a union of k convex sets in \mathbb{R}^d .
The Helly number is $O_{k,d}(1)$ by Alon-Kalai and Matoušek.

Hamming balls

- What about discrete objects?

Hamming balls

- What about discrete objects?
- The *Hamming distance* $\text{dist}(a, b) := |\{k \in [n] : a_k \neq b_k\}|$.

Hamming balls

- What about discrete objects?
- The *Hamming distance* $\text{dist}(a, b) := |\{k \in [n] : a_k \neq b_k\}|$.
- The *Hamming ball* centered at $p \in \mathbb{R}^n$ of radius t is $B(p, t) := \{q \in \mathbb{R}^n : \text{dist}(p, q) \leq t\}$.

Hamming balls

- What about discrete objects?
- The *Hamming distance* $\text{dist}(a, b) := |\{k \in [n] : a_k \neq b_k\}|$.
- The *Hamming ball* centered at $p \in \mathbb{R}^n$ of radius t is $B(p, t) := \{q \in \mathbb{R}^n : \text{dist}(p, q) \leq t\}$.

Question

Determine the Helly number for Hamming balls in \mathbb{R}^n of radius t .

Hamming balls

- What about discrete objects?
- The *Hamming distance* $\text{dist}(a, b) := |\{k \in [n] : a_k \neq b_k\}|$.
- The *Hamming ball* centered at $p \in \mathbb{R}^n$ of radius t is $B(p, t) := \{q \in \mathbb{R}^n : \text{dist}(p, q) \leq t\}$.

Question

Determine the Helly number for Hamming balls in \mathbb{R}^n of radius t .

The case when \mathbb{R}^n is replaced by $\{0, 1\}^n$ was raised by Raman, Subedi and Tewari in the study of online learning.

Main result

An example when $n = t + 1$:

An example when $n = t + 1$:

- Consider all Hamming balls centered at $p \in \{0, 1\}^{t+1}$.

An example when $n = t + 1$:

- Consider all Hamming balls centered at $p \in \{0, 1\}^{t+1}$.
- There are 2^{t+1} Hamming balls of radius t .

An example when $n = t + 1$:

- Consider all Hamming balls centered at $p \in \{0, 1\}^{t+1}$.
- There are 2^{t+1} Hamming balls of radius t .
- $\{0, 1\}^{t+1} \setminus B(p, t) = \{\bar{p}\}$

An example when $n = t + 1$:

- Consider all Hamming balls centered at $p \in \{0, 1\}^{t+1}$.
- There are 2^{t+1} Hamming balls of radius t .
- $\{0, 1\}^{t+1} \setminus B(p, t) = \{\bar{p}\}$ \bar{p} is the opposite point of p .

Main result

An example when $n = t + 1$:

- Consider all Hamming balls centered at $p \in \{0, 1\}^{t+1}$.
- There are 2^{t+1} Hamming balls of radius t .
- $\{0, 1\}^{t+1} \setminus B(p, t) = \{\bar{p}\}$ \bar{p} is the opposite point of p .
- $2^{t+1} - 1$ of them intersect;

An example when $n = t + 1$:

- Consider all Hamming balls centered at $p \in \{0, 1\}^{t+1}$.
- There are 2^{t+1} Hamming balls of radius t .
- $\{0, 1\}^{t+1} \setminus B(p, t) = \{\bar{p}\}$ \bar{p} is the opposite point of p .
- $2^{t+1} - 1$ of them intersect; all of them do not intersect.

Main result

An example when $n = t + 1$:

- Consider all Hamming balls centered at $p \in \{0, 1\}^{t+1}$.
- There are 2^{t+1} Hamming balls of radius t .
- $\{0, 1\}^{t+1} \setminus B(p, t) = \{\bar{p}\}$ \bar{p} is the opposite point of p .
- $2^{t+1} - 1$ of them intersect; all of them do not intersect.

So, the Helly number is at least 2^{t+1} .

Main result

An example when $n = t + 1$:

- Consider all Hamming balls centered at $p \in \{0, 1\}^{t+1}$.
- There are 2^{t+1} Hamming balls of radius t .
- $\{0, 1\}^{t+1} \setminus B(p, t) = \{\bar{p}\}$ \bar{p} is the opposite point of p .
- $2^{t+1} - 1$ of them intersect; all of them do not intersect.

So, the Helly number is at least 2^{t+1} . **Is it tight?**

Main result

An example when $n = t + 1$:

- Consider all Hamming balls centered at $p \in \{0, 1\}^{t+1}$.
- There are 2^{t+1} Hamming balls of radius t .
- $\{0, 1\}^{t+1} \setminus B(p, t) = \{\bar{p}\}$ \bar{p} is the opposite point of p .
- $2^{t+1} - 1$ of them intersect; all of them do not intersect.

So, the Helly number is at least 2^{t+1} . **Is it tight?**

Theorem (Alon, J. and Sudakov 2024+)

Let $n \geq t + 1$. The Helly number for the family of Hamming balls of radius t in \mathbb{R}^n is 2^{t+1} .

Reduction to a set-pair inequality

- Suppose the Helly number is at least h for some $h \geq 0$.

Reduction to a set-pair inequality

- Suppose the Helly number is at least h for some $h \geq 0$.
- There exist Hamming balls B_1, \dots, B_h :

Reduction to a set-pair inequality

- Suppose the Helly number is at least h for some $h \geq 0$.
- There exist Hamming balls B_1, \dots, B_h :
 - every $h - 1$ of them intersect; all of them do not intersect.

Reduction to a set-pair inequality

- Suppose the Helly number is at least h for some $h \geq 0$.
- There exist Hamming balls B_1, \dots, B_h :
 - every $h - 1$ of them intersect; all of them do not intersect.
- Let a_i be the center of B_i ,

Reduction to a set-pair inequality

- Suppose the Helly number is at least h for some $h \geq 0$.
- There exist Hamming balls B_1, \dots, B_h :
 - every $h - 1$ of them intersect; all of them do not intersect.
- Let a_i be the center of B_i ,
and b_i be in the intersection of all B_j s, $j \neq i$.

Reduction to a set-pair inequality

- Suppose the Helly number is at least h for some $h \geq 0$.
- There exist Hamming balls B_1, \dots, B_h :
 - every $h - 1$ of them intersect; all of them do not intersect.
- Let a_i be the center of B_i ,
and b_i be in the intersection of all B_j s, $j \neq i$.
 - $\text{dist}(a_i, b_i) \geq t + 1$; $\text{dist}(a_i, b_j) \leq t$ for $i \neq j$.

Reduction to a set-pair inequality

- Suppose the Helly number is at least h for some $h \geq 0$.
- There exist Hamming balls B_1, \dots, B_h :
 - every $h - 1$ of them intersect; all of them do not intersect.
- Let a_i be the center of B_i ,
and b_i be in the intersection of all B_j s, $j \neq i$.
 - $\text{dist}(a_i, b_i) \geq t + 1$; $\text{dist}(a_i, b_j) \leq t$ for $i \neq j$.

Theorem (Alon, J. and Sudakov 2024+)

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) \geq t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq 2^{t+1}$.

Reduction to a set-pair inequality

- Suppose the Helly number is at least h for some $h \geq 0$.
- There exist Hamming balls B_1, \dots, B_h :
 - every $h - 1$ of them intersect; all of them do not intersect.
- Let a_i be the center of B_i ,
and b_i be in the intersection of all B_j s, $j \neq i$.
 - $\text{dist}(a_i, b_i) \geq t + 1$; $\text{dist}(a_i, b_j) \leq t$ for $i \neq j$.

Theorem (Alon, J. and Sudakov 2024+)

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) \geq t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq 2^{t+1}$.

The Helly number for Hamming balls of radius t is precisely 2^{t+1} !

Proof of a simplified version

Theorem

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) \geq t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq 2^{t+1}$.

Proof of a simplified version

A simplified version:

Theorem

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) = t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq 2^{t+1}$.

Proof of a simplified version

A simplified version:

Theorem

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) = t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq 2^{t+1}$.

- We will prove this by the algebraic method.

Proof of a simplified version

A simplified version:

Theorem

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) = t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq 2^{t+1}$.

- We will prove this by the algebraic method.
- The dimension argument:

Proof of a simplified version

A simplified version:

Theorem

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) = t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq 2^{t+1}$.

- We will prove this by the algebraic method.
- The dimension argument:
 - construct m objects (say polynomials);

Proof of a simplified version

A simplified version:

Theorem

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) = t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq 2^{t+1}$.

- We will prove this by the algebraic method.
- The dimension argument:
 - construct m objects (say polynomials);
 - show they are linearly independent;

Proof of a simplified version

A simplified version:

Theorem

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) = t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq 2^{t+1}$.

- We will prove this by the algebraic method.
- The dimension argument:
 - construct m objects (say polynomials);
 - show they are linearly independent;
 - derive that $m \leq \dim(\text{ambient space})$.

Proof of a simplified version

A simplified version:

Theorem

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies
 $\text{dist}(a_i, b_i) = t + 1$ for all i , $\text{dist}(a_i, b_j) \leq t$ for $i \neq j$,
then $m \leq 2^{t+1}$.

- We will prove this by the algebraic method.
- The dimension argument:
 - construct m objects (say polynomials);
 - show they are linearly independent;
 - derive that $m \leq \dim(\text{ambient space})$.
- **Note:** $\dim(\text{ambient space})$ usually depends on n !

Proof of a simplified version

A simplified version:

Theorem

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) = t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq 2^{t+1}$.

- We will prove this by the algebraic method.
- The dimension argument:
 - construct m objects (say polynomials);
 - show they are linearly independent;
 - derive that $m \leq \dim(\text{ambient space})$.
- **Note:** $\dim(\text{ambient space})$ usually depends on n !
- *Our strategy:* construct more objects for each $i \in [m]$.

Proof of a simplified version

Theorem

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) = t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq 2^{t+1}$.

Proof of a simplified version

Theorem

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) = t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq 2^{t+1}$.

- $D_i := \{k \in [n] : a_{i,k} \neq b_{i,k}\};$

Proof of a simplified version

Theorem

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) = t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq 2^{t+1}$.

- $D_i := \{k \in [n] : a_{i,k} \neq b_{i,k}\}; |D_i| = t + 1.$

Proof of a simplified version

Theorem

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) = t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq 2^{t+1}$.

- $D_i := \{k \in [n] : a_{i,k} \neq b_{i,k}\}; |D_i| = t + 1$.
- $\forall i \in [m] \quad \forall S \subseteq [n] \setminus D_i$, define a multilinear polynomial

$$f_{i,S}(x) := \prod_{k \in S \cup D_i} (x_k - a_{i,k}).$$

Proof of a simplified version

Theorem

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) = t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq 2^{t+1}$.

- $D_i := \{k \in [n] : a_{i,k} \neq b_{i,k}\}; |D_i| = t + 1$.
- $\forall i \in [m] \quad \forall S \subseteq [n] \setminus D_i$, define a multilinear polynomial

$$f_{i,S}(x) := \prod_{k \in S \cup D_i} (x_k - a_{i,k}).$$

- Claim: $f_{i,S}$ are linearly independent.

Proof of a simplified version

Theorem

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) = t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq 2^{t+1}$.

- $D_i := \{k \in [n] : a_{i,k} \neq b_{i,k}\}; |D_i| = t + 1$.
- $\forall i \in [m] \quad \forall S \subseteq [n] \setminus D_i$, define a multilinear polynomial

$$f_{i,S}(x) := \prod_{k \in S \cup D_i} (x_k - a_{i,k}).$$

- **Claim:** $f_{i,S}$ are linearly independent.
 - Then, $m \cdot 2^{n-t-1} \leq 2^n$

Proof of a simplified version

Theorem

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) = t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq 2^{t+1}$.

- $D_i := \{k \in [n] : a_{i,k} \neq b_{i,k}\}; |D_i| = t + 1$.
- $\forall i \in [m] \quad \forall S \subseteq [n] \setminus D_i$, define a multilinear polynomial

$$f_{i,S}(x) := \prod_{k \in S \cup D_i} (x_k - a_{i,k}).$$

- **Claim:** $f_{i,S}$ are linearly independent.
 - Then, $m \cdot 2^{n-t-1} \leq 2^n \implies m \leq 2^{t+1}$.

Proof of a simplified version

Theorem

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) = t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq 2^{t+1}$.

- $D_i := \{k \in [n] : a_{i,k} \neq b_{i,k}\}; |D_i| = t + 1$.
- $\forall i \in [m] \quad \forall S \subseteq [n] \setminus D_i$, define a multilinear polynomial

$$f_{i,S}(x) := \prod_{k \in S \cup D_i} (x_k - a_{i,k}).$$

- Claim: $f_{i,S}$ are linearly independent.

- Then, $m \cdot 2^{n-t-1} \leq 2^n \implies m \leq 2^{t+1}$.

Nice!

$f_{i,S}$ are linearly independent

Recall:

$$D_i = \{k : a_{i,k} \neq b_{i,k}\}, \quad f_{i,S}(x) = \prod_{k \in S \cup D_i} (x_k - a_{i,k}) \quad \forall S \subseteq [n] \setminus D_i.$$

$f_{i,S}$ s are linearly independent

Recall:

$$D_i = \{k : a_{i,k} \neq b_{i,k}\}, \quad f_{i,S}(x) = \prod_{k \in S \cup D_i} (x_k - a_{i,k}) \quad \forall S \subseteq [n] \setminus D_i.$$

Evaluation points:

$$x_{i,S} \in \mathbb{R}^n : x_{i,S,k} = b_{i,k} \quad \forall k \notin S, \quad x_{i,S,k} \in \mathbb{R} \setminus \{b_{i,k}\} \quad \forall k \in S.$$

$f_{i,S}$ are linearly independent

Recall:

$$D_i = \{k : a_{i,k} \neq b_{i,k}\}, \quad f_{i,S}(x) = \prod_{k \in S \cup D_i} (x_k - a_{i,k}) \quad \forall S \subseteq [n] \setminus D_i.$$

Evaluation points:

$$x_{i,S} \in \mathbb{R}^n : x_{i,S,k} = b_{i,k} \quad \forall k \notin S, \quad x_{i,S,k} \in \mathbb{R} \setminus \{b_{i,k}\} \quad \forall k \in S.$$

- $f_{i,S}(x_{i,S}) \neq 0$.

$f_{i,S}$ are linearly independent

Recall:

$$D_i = \{k : a_{i,k} \neq b_{i,k}\}, \quad f_{i,S}(x) = \prod_{k \in S \cup D_i} (x_k - a_{i,k}) \quad \forall S \subseteq [n] \setminus D_i.$$

Evaluation points:

$$x_{i,S} \in \mathbb{R}^n : x_{i,S,k} = b_{i,k} \quad \forall k \notin S, \quad x_{i,S,k} \in \mathbb{R} \setminus \{b_{i,k}\} \quad \forall k \in S.$$

- $f_{i,S}(x_{i,S}) \neq 0$.
- $f_{i',S'}(x_{i,S}) = 0$ if $(i, S) \neq (i', S')$ and $|S| \leq |S'|$.

$f_{i,S}$ are linearly independent

Recall:

$$D_i = \{k : a_{i,k} \neq b_{i,k}\}, \quad f_{i,S}(x) = \prod_{k \in S \cup D_i} (x_k - a_{i,k}) \quad \forall S \subseteq [n] \setminus D_i.$$

Evaluation points:

$$x_{i,S} \in \mathbb{R}^n : x_{i,S,k} = b_{i,k} \quad \forall k \notin S, \quad x_{i,S,k} \in \mathbb{R} \setminus \{b_{i,k}\} \quad \forall k \in S.$$

- $f_{i,S}(x_{i,S}) \neq 0$.
- $f_{i',S'}(x_{i,S}) = 0$ if $(i, S) \neq (i', S')$ and $|S| \leq |S'|$.
- Upper triangular in the order of $|S|$.

$f_{i,S}$ are linearly independent

Recall:

$$D_i = \{k : a_{i,k} \neq b_{i,k}\}, \quad f_{i,S}(x) = \prod_{k \in S \cup D_i} (x_k - a_{i,k}) \quad \forall S \subseteq [n] \setminus D_i.$$

Evaluation points:

$$x_{i,S} \in \mathbb{R}^n : x_{i,S,k} = b_{i,k} \quad \forall k \notin S, \quad x_{i,S,k} \in \mathbb{R} \setminus \{b_{i,k}\} \quad \forall k \in S.$$

- $f_{i,S}(x_{i,S}) \neq 0$.
- $f_{i',S'}(x_{i,S}) = 0$ if $(i, S) \neq (i', S')$ and $|S| \leq |S'|$.
- Upper triangular in the order of $|S|$.
- $f_{i,S}$ are linearly independent!

Definition

Let $n \geq d \geq 0$. A *binary error correcting code (ECC)* is a subset of $\{0, 1\}^n$ s.t. the pairwise Hamming distance is at least d .

Binary error correcting codes

Definition

Let $n \geq d \geq 0$. A *binary error correcting code (ECC)* is a subset of $\{0, 1\}^n$ s.t. the pairwise Hamming distance is at least d .

Let $A_{n,d}$ be the size of the largest ECC.

Binary error correcting codes

Definition

Let $n \geq d \geq 0$. A *binary error correcting code (ECC)* is a subset of $\{0, 1\}^n$ s.t. the pairwise Hamming distance is at least d .

Let $A_{n,d}$ be the size of the largest ECC.

Theorem (Hamming bound)

$$A_{n,d} \leq H_{n,d} := \frac{2^n}{\sum_{i=0}^{(d-1)/2} \binom{n}{i}}.$$

Binary error correcting codes

Definition

Let $n \geq d \geq 0$. A *binary error correcting code (ECC)* is a subset of $\{0, 1\}^n$ s.t. the pairwise Hamming distance is at least d .

Let $A_{n,d}$ be the size of the largest ECC.

Theorem (Hamming bound)

$$A_{n,d} \leq H_{n,d} := \frac{2^n}{\sum_{i=0}^{(d-1)/2} \binom{n}{i}}.$$

- Tightness: trivial codes, Hamming codes and Golay codes.

Definition

Let $n \geq d \geq 0$. A *binary error correcting code (ECC)* is a subset of $\{0, 1\}^n$ s.t. the pairwise Hamming distance is at least d .

Let $A_{n,d}$ be the size of the largest ECC.

Theorem (Hamming bound)

$$A_{n,d} \leq H_{n,d} := \frac{2^n}{\sum_{i=0}^{(d-1)/2} \binom{n}{i}}.$$

- Tightness: trivial codes, Hamming codes and Golay codes.
- Approximate tightness: BCH codes when d is a constant.

A more general set-pair inequality

Theorem (Alon, J. and Sudakov 2024+)

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) \geq t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq 2^{t+1}$.

A more general set-pair inequality

Theorem (Alon, J. and Sudakov 2024+)

Let $n > t \geq 0$ and $s \geq 1$. If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) \geq t + s \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq H_{t+s, s}$.

A more general set-pair inequality

Theorem (Alon, J. and Sudakov 2024+)

Let $n > t \geq 0$ and $s \geq 1$. If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) \geq t + s \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq H_{t+s, s}$.

- This setting generalizes error correcting codes:

A more general set-pair inequality

Theorem (Alon, J. and Sudakov 2024+)

Let $n > t \geq 0$ and $s \geq 1$. If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) \geq t + s \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq H_{t+s, s}$.

- This setting generalizes error correcting codes:
 - Let $n = t + s$, $(a_i)_i$ be an ECC in $\{0, 1\}^{t+s}$ with distance s . Take $b_i := \overline{a_i} \forall i$.

A more general set-pair inequality

Theorem (Alon, J. and Sudakov 2024+)

Let $n > t \geq 0$ and $s \geq 1$. If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) \geq t + s \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq H_{t+s, s}$.

- This setting generalizes error correcting codes:
 - Let $n = t + s$, $(a_i)_i$ be an ECC in $\{0, 1\}^{t+s}$ with distance s .
Take $b_i := \overline{a_i} \forall i$.
 - $\text{dist}(a_i, b_i) = t + s$, $\text{dist}(a_i, b_j) = t + s - \text{dist}(a_i, a_j) \leq t \forall i \neq j$.

A more general set-pair inequality

Theorem (Alon, J. and Sudakov 2024+)

Let $n > t \geq 0$ and $s \geq 1$. If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) \geq t + s \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq H_{t+s, s}$.

- This setting generalizes error correcting codes:
 - Let $n = t + s$, $(a_i)_i$ be an ECC in $\{0, 1\}^{t+s}$ with distance s .
Take $b_i := \overline{a_i} \forall i$.
 - $\text{dist}(a_i, b_i) = t + s$, $\text{dist}(a_i, b_j) = t + s - \text{dist}(a_i, a_j) \leq t \forall i \neq j$.
- Our theorem can be seen as a generalized Hamming bound.

A more general set-pair inequality

Theorem (Alon, J. and Sudakov 2024+)

Let $n > t \geq 0$ and $s \geq 1$. If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) \geq t + s \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq H_{t+s, s}$.

- This setting generalizes error correcting codes:
 - Let $n = t + s$, $(a_i)_i$ be an ECC in $\{0, 1\}^{t+s}$ with distance s .
Take $b_i := \overline{a_i} \forall i$.
 - $\text{dist}(a_i, b_i) = t + s$, $\text{dist}(a_i, b_j) = t + s - \text{dist}(a_i, a_j) \leq t \forall i \neq j$.
- Our theorem can be seen as a generalized Hamming bound.
- Tight due to **perfect codes**:

A more general set-pair inequality

Theorem (Alon, J. and Sudakov 2024+)

Let $n > t \geq 0$ and $s \geq 1$. If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) \geq t + s \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq H_{t+s, s}$.

- This setting generalizes error correcting codes:
 - Let $n = t + s$, $(a_i)_i$ be an ECC in $\{0, 1\}^{t+s}$ with distance s .
Take $b_i := \overline{a_i} \forall i$.
 - $\text{dist}(a_i, b_i) = t + s$, $\text{dist}(a_i, b_j) = t + s - \text{dist}(a_i, a_j) \leq t \forall i \neq j$.
- Our theorem can be seen as a generalized Hamming bound.
- Tight due to **perfect codes**:
 - trivial codes, Hamming codes, Golay codes.

A more general set-pair inequality

Theorem (Alon, J. and Sudakov 2024+)

Let $n > t \geq 0$ and $s \geq 1$. If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) \geq t + s \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq H_{t+s, s}$.

- This setting generalizes error correcting codes:
 - Let $n = t + s$, $(a_i)_i$ be an ECC in $\{0, 1\}^{t+s}$ with distance s .
Take $b_i := \overline{a_i} \forall i$.
 - $\text{dist}(a_i, b_i) = t + s$, $\text{dist}(a_i, b_j) = t + s - \text{dist}(a_i, a_j) \leq t \forall i \neq j$.
- Our theorem can be seen as a generalized Hamming bound.
- Tight due to **perfect codes**:
 - trivial codes, Hamming codes, Golay codes.
 - When $s = 1, 2, 3, 4$, or $s \in \{7, 8\}$ and $t = 16$.

A more general set-pair inequality

Theorem (Alon, J. and Sudakov 2024+)

Let $n > t \geq 0$ and $s \geq 1$. If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) \geq t + s \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq H_{t+s, s}$.

- This setting generalizes error correcting codes:
 - Let $n = t + s$, $(a_i)_i$ be an ECC in $\{0, 1\}^{t+s}$ with distance s .
Take $b_i := \overline{a_i} \forall i$.
 - $\text{dist}(a_i, b_i) = t + s$, $\text{dist}(a_i, b_j) = t + s - \text{dist}(a_i, a_j) \leq t \forall i \neq j$.
- Our theorem can be seen as a generalized Hamming bound.
- Tight due to **perfect codes**:
 - trivial codes, Hamming codes, Golay codes.
 - When $s = 1, 2, 3, 4$, or $s \in \{7, 8\}$ and $t = 16$.
- Tight up to $O_s(1)$ due to BCH codes.

Theorem (Alon, J. and Sudakov 2024+)

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) \geq t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq 2^{t+1}$.

Further directions

Theorem (Alon, J. and Sudakov 2024+)

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) \geq t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } i \neq j,$$

then $m \leq 2^{t+1}$.

The skew version:

Question

If $a_1, \dots, a_m, b_1, \dots, b_m \in \mathbb{R}^n$ satisfies

$$\text{dist}(a_i, b_i) \geq t + 1 \text{ for all } i, \quad \text{dist}(a_i, b_j) \leq t \text{ for } 1 \leq i < j \leq m,$$

then $m \leq ???$

The End

Questions? Comments?

The End

Questions? Comments?